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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/753,755

01/03/2001

Peter Ian Moss

5538/1020

CONFIRMATION NO. 1885

27495

PALMER & DODGE, LLP ONE BEACON STREET BOSTON, MA 02108-3190 Docketed Down to NFMP
Response Due 149 to NFMP

FORMALITIES LETTER

OCCOMMONOST85462*

Statutory Period 4-21-01 (8/21/01 Palmer & Dodge LLP Final

Patent Department

Date Mailed: 02/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

MAR 1 9 2001

An application number and filing date have been accorded to this application. The item's indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$211.
 - \$36 for 4 total claims over 20.
 - \$40 for 1 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 631.

05/09/2001 DTESSEM1 00000058 09753755

١.	FC:201	255 AA 0B
		333.00 07
)2	FC:205	65.00 OP
)3	FC:203	Accepts of this notice MUST be returned with the reply.
)4	FC:202	Acopytof this notice WIUSI be returned with the reply
)5	FC:204	135.00 OP
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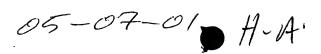
EN

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY





Atty. Docket No.: 5538/1020

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Moss, et al.

Serial No.:

09/753,755

Filed:

January 3, 2001

Entitled:

"Automated Trading Systems"

Examiner:

Group Art Unit:

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, Express Mail Label No. EL591998239US on this date, May U 2001, postage prepaid, in an envelope addressed to Box: Commissioner for Patents, Washington, D.C. 20231.

Kathleen Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Missing Parts

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

- Response to Notice to File Missing Parts mailed February 21, 2001; 1.
- Copy of Notice to File Missing Parts- Part 2; 2.
- 3. Petition for One Month Extension of Time;
- 4. Executed Declaration and Power of Attorney;
- Check in the amount of \$686.00 for the requisite fee; and 5.
- 6. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 5538/1020. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date: 5 4 01

Name: Kathleen Williams

Registration No.: 34,380

Palmer & Dodge LLP

One Beacon Street Boston, MA 02108

Tel: 617-573-0100





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Moss, et al.

Serial No.:

09/753,755

Filed: Entitled:

January 3, 2001 "Automated Trading System"

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Kathleen Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Missing Parts

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to Notice To File Missing Parts of Application mailed February 21, 2001 in the above-referenced patent application, please find enclosed an Executed Declaration and Power of Attorney Form, a Copy of Notice to File Missing Parts- Part 2, a Petition for One Month Extension of Time and a check in the amount of \$686.00 for the requisite fee.

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